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Anisotropic Band-Edge Absorption of Millimeter-Sized Zn(3-ptz)₂ Single-Crystal Metal—Organic Frameworks

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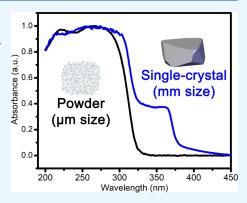
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5 ABSTRACT: Metal—organic frameworks (MOFs) have emerged as promising 6 tailor-designed materials for developing next-generation solid-state devices with 7 applications in linear and nonlinear coherent optics. However, the implementation of 8 functional devices is challenged by the notoriously difficult process of growing large 9 MOF single crystals of high optical quality. By controlling the solvothermal synthesis 10 conditions, we succeeded in producing large individual single crystals of the 11 noncentrosymmetric MOF $Zn(3-ptz)_2$ (MIRO-101) with a deformed octahedron 12 habit and surface areas of up to 37 mm². We measured the UV—vis absorption 13 spectrum of individual $Zn(3-ptz)_2$ single crystals across different lateral incidence 14 planes. Millimeter-sized single crystals have a band gap of $E_g = 3.32$ eV and exhibit 15 anisotropic absorption in the band-edge region near 350 nm, whereas polycrystalline 16 samples are fully transparent in the same frequency range. Using solid-state density 17 functional theory (DFT), the observed size dependence in the optical anisotropy is 18 correlated with the preferred orientation adopted by pyridyl groups under conditions



19 of slow crystal self-assembly. Our work thus paves the way for the development of optical polarization switches based on metal—20 organic frameworks.

1 INTRODUCTION

22 Crystal symmetry, electronic structure, and chemical stability 23 are key factors that determine the properties of materials for 24 optical devices such as polarizers, mirrors, or detectors. 25 Precise fabrication of optical materials is also key to the 26 development of advanced technology such as entangle-photon 27 sources 4,5 and solid-state spin platforms for quantum 28 technology.^{6,7} In addition, organic polymers are promising 29 optical materials because they are inexpensive, 8,9 light-30 weight, ^{10,11} and moldable. ^{10,12} However, their optical proper-31 ties often degrade due to low mechanical resistance, 32 inhomogeneity, and temperature sensitivity, limiting their 33 application in precision optical devices such polarimetry and 34 interferometry. 13 In contrast, crystalline materials have better performance for these applications. For example, crystal 36 birefringence is essential to amplify and modulate the 37 polarization state of light in wave-plates and Pockels 38 cells. 14,15 In many cases, birefringent crystals also exhibits 39 nonlinear responses due to their noncentrosymmetric lattice 40 symmetry, allowing applications such as optical frequency 41 conversion and optical parametric amplifiers. 16,17

Metal—organic frameworks (MOFs) are crystalline materials made of organic ligands and inorganic metal centers, which have attracted great interest in materials science due to their bility to be tailor-designed for specific applications. The molecular-level design of MOFs has enabled important advances in gas storage, 20,21 chemical sensing, 22,23 and energy 47 storage.^{24,25} MOFs also have great potential for nonlinear 48 optical applications. Uniaxial crystals and noncentrosymmetric 49 coordination networks can be constructed from tetrahedral 50 coordination geometries using d^{10} metal ions without inversion $_{51}$ symmetry.¹⁷ The electronic structure of d¹⁰ metal ions such as 52 Zn²⁺ and Cd²⁺ increases the chemical stability and optical 53 transparency of MOF crystals, due to their inherent resistance 54 to oxidation and the absence of d-d band absorptions in the 55 visible range. 26,27 Moreover, the presence of donor-acceptor 56 (pull-push) type ligands in noncentrosymmetric Cd2+- or 57 Zn²⁺-based MOF structures improves the nonlinear response 58 because molecules with large transition dipole moments and 59 large differences between permanent dipole moments in the 60 ground and excited states increase the second-order nonlinear 61 optical susceptibility $\chi^{(2)}$. 17,28

The Cambridge Structural Database (CSD) contains 63 approximately 3900 noncentrosymmetric uniaxial MOFs 64 reported to date.²⁹ However, no detailed optical studies are 65

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66 available on the characterization of applications-ready optical 67 devices, such as Mueller matrix polarimetry. 30 Studies have 68 been limited to the band gap measurements of MOFs and 69 second-harmonic generation (SHG) signals for micrometer-70 sized MOF samples. 17,28 The lack of precise optical character-71 ization may be due to the notorious difficulty of growing large 72 single-crystal MOFs. 31,32 According to the vast MOF literature, 73 only a few reports of single-crystal MOFs grown beyond 1 mm 74 can be found. 33-37 Although efforts to understand the 75 dynamics of the self-assembly process in MOFs under 76 solvothermal conditions are underway, 38 a general under-77 standing of this process is still elusive, limiting the available strategies for the growth of single crystals for optical devices. We have recently reported a method to grow large single 80 crystals of the MOF Zn(3-ptz)₂.³⁷ This MOF shows a 81 noncentrosymmetric unit cell, tetrahedral d¹⁰ coordination 82 geometry, and tetrazole push—pull ligands [3-ptz is 5-(3-83 pyridyl)-1H-tetrazolate]. In this work, we study the 84 absorption of large individual Zn(3-ptz)₂ single crystals across 85 different crystal planes and discuss the size dependence of the 86 anisotropy in the absorption spectrum with crystal samples of 87 millimeter dimensions, thus extending previous work on the 88 dependence of MOFs' electronic properties with size over 89 submicrometer dimensions. 40 Finally, we carry out solid-state 90 density functional theory (DFT) calculations to rationalize the 91 relationship between the crystallographic structure and optical 92 response of our large single-crystal samples.

93 METHODS

94 All reactants were purchased from Sigma-Aldrich and utilized 95 without any further purification, except for 3-cyanopyridine, 96 which was sublimed at 60 °C. The Zn(3-ptz)₂ crystals were 97 synthesized based on the methodology previously reported.³⁷ 98 Large single crystals were obtained from a mixture of 99 Zn(CH₃COO)₂ (3.26 mmol), 3-cyanopyridine (6.52 mmol), 100 sodium azide (9.78 mmol), and acetic acid (3.26 mmol) 101 dissolved in 14 mL of distilled water in a 50 mL glass bottle 102 with the pH value adjusted to 2.7 using HNO₃ (70%). The 103 glass bottle was introduced into a tube furnace at 113 °C for 40 104 h (Nabertherm, model 50-250/11) using a horizontal 105 operation and filling the furnace with alumina bulk fiber. All 106 crystals were filtered immediately after the reaction time was 107 finished and washed using ethanol absolute. The planes of the 108 crystal faces were characterized by indexing in a SMART CCD 109 diffractometer using the orientation matrix. The representation 110 of the planes was done using the software WinXMorph. 41,42 111 The crystal size area was characterized by optical microscopy 112 as detailed in ref 37. Single crystals were measured by 113 transmittance in a PerkinElmer Lambda 750S spectropho-114 tometer in a quartz cell, holding the (101) plane on the bottom 115 of the quartz cell. Powder samples were measured in a quartz 116 holder in the diffuse reflection detector.

The simulated absorption spectra were calculated using DFT with PBE-GGA functionals and Grimme dispersion (D3) function correction, as implemented in CASTEP. $^{43-47}$ The 220 crystal structure of $Zn(3-ptz)_2$ (CSD: 184958) 48 shows a space 121 group of I $\overline{4}2d$ and a static disorder in which the nitrogen and 122 carbon atoms in the pyridyl group are located at the same 123 atomic position. Cells A and B (space group $\overline{14}$) were 124 generated by replacing the superposed atoms by a nitrogen or 125 carbon atom and orienting the position of the nitrogen in the 126 unit cell inward or outward toward the center of the cell, 127 respectively. Both cells A and B present the same cell

parameters and crystallographic position as Zn(3-ptz)₂, and 128 both were used in calculations. By comparing single-point 129 energy calculations, we determined an optimal k-point mesh of 130 $2 \times 2 \times 2$ and a cutoff of 1100 eV for optimization and 131 absorption calculations. We carried out a two-step optimiza- 132 tion procedure for each unit cell: First, only atomic coordinates 133 were minimized. Second, both cell parameters and atomic 134 coordinates were allowed to be optimized. The optimized 135 coordinates and cell parameters were employed for the 136 estimation of the absorption spectra (see details in the 137 Supporting Information). The simulated polycrystalline 138 spectrum was obtained from calculations without a definite 139 direction of the electric field (isotropic dielectric tensor 140 average). Molecular orbital models were obtained in an all-141 electron single-point calculation using the PBE approxima- 142 tion⁴⁹ to represent the exchange-correlation energy. This 143 single-point calculations were based on the DFT framework⁵⁰ 144 using the ADF-BAND program. 51,52 145

■ RESULTS AND DISCUSSION

Size-Dependent Optical Anisotropy. We studied the 147 absorption of the $Zn(3\text{-ptz})_2$ (MIRO-101) single crystals 148 across different lateral crystal planes. Typical large-sized crystal 149 samples have opposing parallel triangular and hexagonal faces, 150 corresponding to the planes $(10\overline{1})$ and $(\overline{1}01)$, respectively, as 151 reported in the distorted octahedron habit in ref 37. For 152 samples with a surface area of a few square millimeters, we 153 measured the absorption spectra of three distinguishable lateral 154 faces, holding the plane $(\overline{1}01)$ on the bottom as depicted in 155 Figure 1. Regarding the large size of our samples, we were only 156 fi

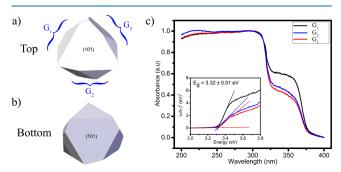


Figure 1. Crystal habit of MIRO-101. (a) Top crystal view, displaying the three distinguishable incidence lateral planes along which the absorption is measured: G_1 , G_2 , and G_3 . (b) Bottom crystal view. (c) Single-crystal absorbance on the lateral planes G_1 , G_2 , and G_3 . The inset shows the measured single-crystal band gap of $E_{\rm g}=3.32$ eV.

able to index planes of the 5 mm² crystal by single-crystal X-ray 157 diffraction (SXRD), which exhibited crystallographic planes G_1 158 = {(101), (221)}, G_2 = {(021), (011)}, and G_3 = {(021), 159 (011)}. For each position, we plotted its absorption spectrum 160 across the lateral planes G_1 , G_2 , and G_3 , where the subscript 161 was assigned according to its intensity at 350 nm (Figure 1c). 162 In the region below 310 nm, the three lateral planes have the 163 same absorption intensity, but an orientation-dependent 164 energy band is measured in the range of 335–365 nm. This 165 low-energy band in the single crystal presents an energy band 166 gap of E_g = 3.32 eV (see Figure 1c, inset), which is 167 approximately 0.6 eV lower than the band gap obtained in a 168 polycrystalline sample. This anisotropic optical response near 169 the band edge is expected, given the large birefringence of the 170 MIRO-101 crystal lattice. The same response 169 the 171 miles 165 miles 167 mile

In order to understand the lower energy band gap described 173 in Figure 1c, we carried out a systematic study of the size 174 dependence of the band-edge absorption spectrum for MIRO-175 101. We prepared individual single crystals with top view 176 surface areas in the range of 5–37 mm², as measured by optical 177 imaging. The crystal size dependence of the absorption 178 spectrum of MIRO-101 is shown in Figure 2a. We recorded

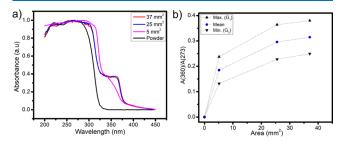


Figure 2. Size dependence of the band-edge absorption. (a) Absorbance along the incidence plane G_1 for single-crystal samples of different top surface areas. The powder absorption is shown for comparison. (b) Normalized absorbance across the lateral planes at 360 nm.

179 the absorbance spectra for crystal samples, varying the size 180 from a micrometer-sized powder sample to individual single 181 crystals with top surface areas of up to 37 mm². Figure 2a 182 shows that crystals with surface areas of a few square 183 millimeters exhibit a low-energy shoulder that is not present 184 in the powder. The extracted band gap converges to 3.3 eV for 185 the largest samples measured in the millimeter regime (see 186 Figure 1c). In Figure 2b we show the absorbance of G_1 and G_3 187 and the average absorbance taken from the intensities at 360 188 nm. The intensity of the shoulder increases as long as the 189 crystal size increases. In addition, the difference observed 190 between G_1 and G_3 at 360 nm shows the anisotropy behavior 191 of MIRO-101, which is about 0.1 units and approximately 192 independent of crystal size.

This crystal size dependence of the band gap and anisotropy 194 effects in the absorbance of MIRO-101 can be explained in 195 terms of the relative orientations of the tetrazole ligands in the 196 unit cell during the crystallization process. Under its first 197 reported synthesis conditions, ⁴⁸ Zn(3-ptz)₂ was obtained in 198 powder form. SXRD shows that the unit cell of MIRO-101

exhibits static disorder in which the nitrogen atom of the 199 pyridyl group is located at the same position as a carbon atom 200 in the pyridine ring, producing two types of pyridyl 201 orientations in the unit cell that coexist in a polycrystalline 202 sample. We denote these two observed unit cells as A and B. 203 We show in Figure 3a that unit cell A has the nitrogen atoms of 204 f3 the pyridyl group oriented toward the center of the unit cell 205 (red dot). In contrast, the nitrogen atoms of the pyridyl groups 206 in unit cell B are oriented outward. Then, we used periodic 207 DFT to compute the formation energy, $E_{\rm f}$ of the two 208 structures. From the minimized total energy of each unit cell, 209 we determined that the formation energy of cell A is 0.87 eV 210 (20 kcal/mol) lower than the formation energy of cell B (see 211 the DFT Methods section in the Supporting Information).

In Figure 3b the relative orientation of the pyridyl groups in 213 unit cell A shows differences in the absorption band in the 214 region of 345–365 nm, which coincides with the observed 215 band-edge absorption of large single-crystal samples (see 216 Figure 1c). Solid-state DFT analysis shows that both cells 217 absorb at similar intensities below 320 nm and only unit cell A 218 exhibits the absorption band around 360 nm, suggesting that 219 the pyridyl groups tend to arrange in an A-like cell orientation 220 for larger single crystals. The calculated band gap of MIRO- 221 101 assuming unit cell A is $E_{\rm g}=3.15$ eV, which should be 222 compared with the 3.32 eV single-crystal band gap from Figure 223 1. The simulated absorption spectrum of MIRO-101 assuming 224 the more energetic cell B conformation only exhibits the high-225 energy band at 310–320 nm.

In Figure 4 we show an analysis of the molecular orbitals 227 f4 obtained by single-point calculations for the valence band 228 (HOMO) and conduction band (LUMO) in the vicinity of the 229 Fermi level. The results show differences between the unit cell 230 types A and B, which could explain the crystal's anisotropic 231 behavior. Assuming the edge of the lower optical transitions is 232 related to the molecular orbitals at the edge of the Fermi level, 233 we can appreciate in Figure 4, parts b and c, that the 234 calculations of cell A show an inter-pyridyl group transition, 235 favoring a large isotropic behavior. Meanwhile, in cell B 236 (Figure 4, parts e and f), this type of transition is an intrapyridyl group, lowering the isotropic behavior.

The orientation of pull—push molecules, such as pyridyl- 239 tetrazole, during the self-assembly of molecular crystals in 240 solution plays a critical role in the formation lower energy 241

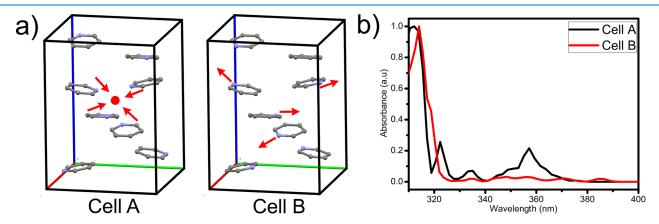


Figure 3. Orientation-dependent absorption bands. (a) The geometry of two orientations of pyridyl groups relative to the center of the unit cell volume (red dot). The nitrogen atoms of the center pyridyl groups point inward and outward in cells A and B, respectively. Other atoms are omitted for clarity. (b) DFT absorption spectra for MIRO-101 with unit cells A (black) or B (red). Cell B leads to absorption at the measured band edge.

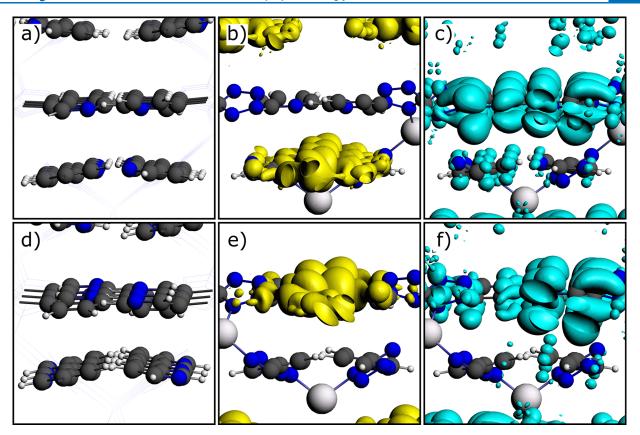


Figure 4. Molecular orbitals at the edge of the valence and conduction bands. Panels a and d show the geometry of the pyridyl groups in unit cells A and B, respectively. Panels b and e show the corresponding isosurfaces of the valence band edge. Panels c and f show the corresponding isosurfaces of the conduction band edge. The isovalue is set to 0.03.

242 bands of excitonic states, primarily due to the relative 243 orientation of the permanent and transition dipole mo-244 ments. 54,55 When synthesis conditions favor high nucleation 245 rates and fast crystal growth, producing small polycrystalline 246 samples, the dynamics of the crystal packing of MIRO-101 is 247 under a kinetic control.³⁷ Our slow crystallization rate 248 conditions suppress the kinetic control of the self-assembly process, producing large single crystals in the most stable 250 thermodynamic state (cell A). Although other cell A-like 251 domains can be produced during the crystallization process in 252 the single crystal (three pyridyl groups oriented inward and 253 one outward), our spectroscopic observations and theoretical calculations support a net microscopic orientation. Additional 255 work is needed to understand the relation between the optical 256 anisotropy of the Zn(3-ptz)₂ crystal and the coordination 257 chemistry of the 5-(3-pyridyl)tetrazolate ligand with Zn(II) 258 ions at low pH. We suspect that the relative availability of 259 crystal polymorphs with significantly different optical polar-260 ization responses is a common feature of highly polar ligands 261 with multiple coordination modes.

262 CONCLUSION

263 We studied the anisotropic absorption of individual large single 264 crystals of the MOF framework $Zn(3-ptz)_2$ (MIRO-101) as a 265 function of crystal size, studying polycrystalline samples in the 266 micrometer regime to single crystals with surface areas of up to 267 37 mm². Using solid-state DFT calculations, we correlated the 268 relative orientation of the pyridyl-tetrazole ligands in the unit 269 cell with the thermodynamic control during the crystallization 270 process. This microscopic orientation is able to modify the

band edge in the absorption spectrum, reducing the band gap 271 for MIRO-101 from 3.9 eV for micrometer-sized polycrystal- 272 line samples to 3.3 eV in millimeter-sized single crystals. Our 273 characterization of the anisotropic optical response of a large 274 noncentrosymmetric MOF is a significant step forward in the 275 development of birefringent MOF crystals for efficient 276 polarization modulation and frequency conversion devices.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at 280 https://pubs.acs.org/doi/10.1021/acsomega.2c01856.

Technical details of the DFT calculations (PDF)

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307 Notes

308 The authors declare no competing financial interest.

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